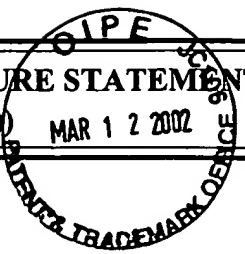


#4 2682



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14318

DS *W. H. H. H.*

In Re Application Of: **Kiyoko Karusawa**

| Serial No. | Filing Date | Examiner | Group Art Unit |
|------------|-------------------|------------|----------------|
| 09/782,905 | February 14, 2001 | unassigned | 2681 |

Title: **PORTABLE TELEPHONE WITH ORIGINATOR ACKNOWLEDGE FUNCTION**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



| | |
|---|---------------------|
| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | Docket No. 14318 |
|---|---------------------|

In Re Application Of: **Kiyoko Karusawa**

| | | | |
|--------------------------|----------------------------------|------------------------|------------------------|
| Serial No. 09/782,905 | Filing Date February 14, 2001 | Examiner unassigned | Group Art Unit 2681 |
|--------------------------|----------------------------------|------------------------|------------------------|

Title: **PORTABLE TELEPHONE WITH ORIGINATOR ACKNOWLEDGE FUNCTION**

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Payment of Fee
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013 SSMP as described below. A duplicate copy of this sheet is enclosed.
 - ☐ Charge the amount of _____
 - ☐ Credit any overpayment.
 - ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ (Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on March 6, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mishelle Mustafa
Typed or Printed Name of Person Mailing Correspondence

***This certificate may only be used if paying by deposit account.**

Signature
Paul J. Esatto, Jr., Reg. No. 30,749
Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

Dated: March 6, 2002

CC:



PATENTS

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kiyoko KARUSAWA **Examiner:** Unassigned
Serial No: 09/782,905 **Art Unit:** 2681
Filed: February 14, 2001 **Docket:** 14318
For: PORTABLE TELEPHONE WITH
ORIGINATOR ACKNOWLEDGE **Dated:** March 6, 2002
FUNCTION

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. WO 99/26394 published on May 17, 1999; and
2. U.S. Patent 5,562,053 dated July 22, 1997 issued to Peter P. Mitchell.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on March 6, 2002

Dated: March 6, 2002


Mishelle Mustafa

The references were cited in a Search Report received from the U.K. Patent Office, dated November 21, 2001. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required

Respectfully submitted,



Paul J. Esatto
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PJE:ae